



PATENT  
Docket No.: 09163-20901

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Allen et al.  
Serial No. : 09/929,886      Group Art Unit : 3692  
Filed : August 15, 2001      Examiner : Chencinski  
For : ***METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A SECURITIES TRANSACTION***

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Respectfully submitted,  
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